

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L40106DL1981PLC012228

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AADCS2469K

(ii) (a) Name of the company

SPML INFRA LIMITED

(b) Registered office address

F-27/2,
OKHLA INDUSTRIAL AREA PHASE-II,
NEW DELHI
Delhi
110020

(c) *e-mail ID of the company

cs@spml.co.in

(d) *Telephone number with STD code

03340091200

(e) Website

www.spml.co.in

(iii) Date of Incorporation

27/08/1981

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange	1
2	BSE Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U20221WB1982PTC034886

Pre-fill

Name of the Registrar and Transfer Agent

MAHESHWARI DATAMATICS PVT LTD.

Registered office address of the Registrar and Transfer Agents

23, R.N. Mukherjee Road
5th Floor

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

AGM is scheduled to be held on 29th September, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	E	Water supply, sewerage and waste management	E3	Waste collection, treatment and disposal activities, materials recovery and Other	86.2
2	F	Construction	F3	Demolition & site preparation, Electrical, plumbing & other Specialized construction	13.8

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ALLAHABAD WASTE PROCESSING +	U90000KA2010PLC118807	Subsidiary	95.01
2	BHAGALPUR ELECTRICITY DIST +	U40300WB2013PTC193917	Subsidiary	99.99
3	DOON VALLEY WASTE MANAG +	U90000KA2011PTC120218	Subsidiary	87.49
4	MADURAI MUNICIPAL WASTE I +	U74999TN2008PTC066715	Subsidiary	92.33
5	MATHURA NAGAR WASTE PRO +	U90001KA2010PLC119486	Subsidiary	90.25
6	SPMLIL-AMRUTHA CONSTRU +	U45309KA2018PTC110677	Subsidiary	50.99
7	SPML INFRASTRUCTURE LIMIT +	U45201KA2007PLC043613	Subsidiary	99.99
8	SPML UTILITIES LIMITED	U90000KA2008PLC046115	Subsidiary	100
9	DELHI WASTE MANAGEMENT L +	U74999KA2005PLC130126	Associate	49.39
10	AWA POWER COMPANY PVT. L +	U45202HP2001PTC029000	Associate	7.2
11	BINWA POWER COMPANY PVT +	U45202HP1990PTC029003	Associate	49.27
12	IQU POWER COMPANY PVT LIM +	U45202HP2001PTC029002	Associate	7
13	NEOGAL POWER COMPANY PV +	U45202HP2001PTC029001	Associate	2.97
14	SPML ENERGY LIMITED	U40102KA2007PLC042238	Associate	48.02
15	SUBHASH KABINI POWER CORP +	U85110KA1997PLC021764	Associate	24.82
16	BHILWARA JAIPUR TOLL ROAD +	U45203RJ2010PTC031427	Associate	51
17	SANMATI INFRADEVELOPERS F +	U55103KA2006PTC040751	Associate	25
18	SPML BHIWANDI WATER SUPP +	U41000MH2008PLC182757	Associate	44.94
19	SPML BHIWANDI WATER SUPP +	U41000MH2008PLC182813	Associate	50
20	AURANGABAD CITY WATER UT +	U41000MH2011PLC219120	Joint Venture	40.01
21	GURHA THERMAL POWER COM +	U40109RJ2009SGC028694	Joint Venture	50
22	HYDRO-COMP ENTERPRISES (II +	U74140KA2007PTC043739	Joint Venture	50
23	MALVIYA NAGAR WATER SERV +	U93000DL2012PTC273064	Joint Venture	26
24	MVV WATER UTILITY PRIVATE L +	U41000DL2012PTC241599	Joint Venture	48.08

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	200,000,000	36,650,276	36,650,276	36,650,276
Total amount of equity shares (in Rupees)	400,000,000	73,300,552	73,300,552	73,300,552

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	200,000,000	36,650,276	36,650,276	36,650,276
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	400,000,000	73,300,552	73,300,552	73,300,552

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	1,000,000	0	0	0
Total amount of preference shares (in rupees)	100,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	1,000,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	100,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						

At the beginning of the year	158,781	36,491,495	36650276	73,300,552	73,300,552	
Increase during the year	0	1,000	1000	2,000	2,000	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	1,000	1000	2,000	2,000	
x. Others, specify						
Decrease during the year	1,000	0	1000	2,000	2,000	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	1,000	0	1000	2,000	2,000	
Converted into Demat						
At the end of the year	157,781	36,492,495	36650276	73,300,552	73,300,552	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0

i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="17/12/2020"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	545,317	1000	545,317,000
Total			545,317,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	545,317,000	0	0	545,317,000

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

6,585,832,000

(ii) Net worth of the Company

2,739,567,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	4,395,125	11.99	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,555,075	17.89	0	
10.	Others	0	0	0	
	Total	10,950,200	29.88	0	0

Total number of shareholders (promoters)

12

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	9,820,363	26.79	0	
	(ii) Non-resident Indian (NRI)	475,439	1.3	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	4,260,831	11.63	0	
5.	Financial institutions	211,401	0.58	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	10,654,809	29.07	0	
10.	Others IEPF, Trust, Clearing Member +	277,233	0.76	0	
	Total	25,700,076	70.13	0	0

Total number of shareholders (other than promoters)

7,333

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7,345

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	18	12
Members (other than promoters)	7,387	7,333
Debenture holders	11	8

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	2	0	2	0	7.04	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	3	2	3	7.04	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

7

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUBHASH CHAND SE[+]	00464390	Whole-time director	1,523,280	
SUSHIL KUMAR SETH[+]	00062927	Managing Director	1,056,985	06/04/2021
PREM SINGH RANA	00129300	Director	0	
DINESH KUMAR GOYA[+]	02576453	Director	0	01/07/2021
PAVITRA JOSHI SINGH[+]	08355578	Director	0	
MANOJ KUMAR DIGGA[+]	ACNPD0513A	CFO	0	
SWATI AGARWAL	ARFPA9003P	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
LAXMI NARAYAN MAN[+]	AEWPM5544H	CFO	15/09/2020	CESSATION
LAXMI NARAYAN MAN[+]	AEWPM5544H	Company Secretar	16/11/2020	CESSATION
MANOJ KUMAR DIGGA[+]	ACNPD0513A	CFO	15/09/2020	APPOINTMENT
SWATI AGARWAL	ARFPA9003P	Company Secretar	17/11/2020	APPOINTMENT

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	17/12/2020	7,393	80	40.45
EXTRA ORDINARY GENERAL MEETING	25/03/2021	7,624	51	36.75

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	18/08/2020	5	5	100
2	15/09/2020	5	5	100
3	12/11/2020	5	4	80
4	12/02/2021	5	4	80
5	25/02/2021	5	5	100

C. COMMITTEE MEETINGS

Number of meetings held

9

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMMITTEE	18/08/2020	3	3	100
2	AUDIT COMMITTEE	15/09/2020	3	3	100
3	AUDIT COMMITTEE	12/11/2020	3	3	100
4	AUDIT COMMITTEE	12/02/2021	3	2	66.67
5	NOMINATION COMMITTEE	18/08/2020	3	3	100
6	NOMINATION COMMITTEE	15/09/2020	3	3	100
7	NOMINATION COMMITTEE	12/11/2020	3	3	100
8	NOMINATION COMMITTEE	12/02/2021	3	2	66.67
9	STAKEHOLDER COMMITTEE	12/11/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	SUBHASH CH	5	5	100	1	1	100	
2	SUSHIL KUMAR	5	4	80	0	0	0	
3	PREM SINGH	5	5	100	9	9	100	
4	DINESH KUMAR	5	5	100	9	9	100	
5	PAVITRA JOSHI	5	4	80	8	6	75	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	SUBHASH CHAND	WHOLE TIME D	7,638,192	0	0	640,000	8,278,192
2	SUSHIL KUMAR SE	MANAGING DIR	7,638,192	0	0	640,000	8,278,192
	Total		15,276,384	0	0	1,280,000	16,556,384

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	LAXMI NARAYAN M	CFO & Compan	1,867,985	0	0	0	1,867,985
2	MANOJ KUMAR DI	CFO	5,887,510	0	0	0	5,887,510
3	SWATI AGARWAL	COMPANY SEC	290,180	0	0	0	290,180
	Total		8,045,675	0	0	0	8,045,675

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1							0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

